



SEP 13 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR/7

In re the application of: Frank Kastenzholz, *et al.*

Serial No.: 09/336,090

Filed: June 18, 1999

For: *INTERCONNECT NETWORK FOR  
OPERATION WITHIN A COMMUNICATION  
NODE*

Attorney Docket No.: AGM-006

Group Art Unit: 2781

Examiner:

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on the date set forth below.

September 8, 1999  
Date of Signature and of Mail Deposit

By:

John V. Bianco  
Reg. No. 36,748  
Attorney for Applicant

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Dear Sir:

Responsive to the Notice to File Missing Parts of Application dated July 15, 1999, Applicants' attorney submits herewith (1) an executed Declaration, Petition and Power of Attorney for the above-identified patent application; (2) a check in the amount of \$759.00 to cover the total amount owed by Applicants including a \$130.00 surcharge and \$629.00 for the balance owed by Applicants for the filing fees based on large entity status; and (3) a copy of Form PTO-1533 (*Notice to File Missing Parts of Application*).

At the time of filing, Applicants paid \$629.00 for the basic filing fee and the extra claims fees, based on small entity status. Applicants' assignee has become a large entity since the filing date of June 18, 1999. Therefore, the fees paid herewith include the balance owed by the Applicants based on large entity status (\$1258.00 less the \$629.00 small entity fee previously paid).

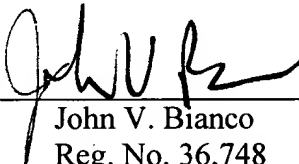
The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Date: September 8, 1999

LAHIVE & COCKFIELD, LLP  
Attorneys at Law

By



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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

* APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/336,090	06/18/99	KASTENHOLZ	F AGM-006

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON MA 02109

0212/0715

NOT ASSIGNED

2781

DATE MAILED:

07/15/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 65.

- ☐ 1. The statutory basic filing fee is:
  - ☐ missing.
  - ☐ insufficient.Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:
  - \$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.
  - \$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
  - \$\_\_\_\_\_ for multiple dependent claim surcharge.Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
  - ☒ is missing or unsigned.
  - ☐ does not cover the newly submitted items.An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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